

Report Title:	<b>SWAP Internal Audit Progress Report</b>
Contains Confidential or Exempt Information	No - Part I
Cabinet Member:	
Meeting and Date:	Audit and Governance Committee – 20 July 2023
Responsible Officer(s):	Andrew Vallance, Deputy Director of Finance & Interim s151 Officer
Wards affected:	All

## **REPORT SUMMARY**

*The report is the first progress report on the internal audit plan for 2023/24 agreed at the February 2023 meeting. It will be presented by the Council's internal auditors, South West Audit Partnership (SWAP).*

### **1. DETAILS OF RECOMMENDATION(S)**

**RECOMMENDATION:** That Audit and Governance Committee notes the report

### **2. REASON(S) FOR RECOMMENDATION(S) AND OPTIONS CONSIDERED**

- 2.1 To ensure that the internal audit programme for 2023/24 is progressing as planned.

#### **Options**

**Table 1: Options arising from this report**

<b>Option</b>	<b>Comments</b>
To agree the Internal Audit Progress report <b>This is the recommended option</b>	This is the preferred option for the reasons set out in the report

### **3 BACKGROUND**

- 3.1 The October 2021 meeting of Audit and Governance Committee recommended to Cabinet that the Council should become a member of the South West Audit Partnership (SWAP). Cabinet ratified this decision in November 2021.
- 3.2 SWAP therefore took over the role of the Council's internal auditors from 1 April 2022.
- 3.3 SWAP's audit plan for 2023/24 was approved at the February 2023 meeting of this committee
- 3.4 A progress report on Quarter 1 to date is attached as **Appendix 1**.

3.5 Key staff from SWAP will attend the meeting to present the report.

#### **4 FINANCIAL DETAILS / VALUE FOR MONEY**

4.1 There are no direct financial consequences arising from this report.

#### **5 LEGAL IMPLICATIONS**

5.1 None.

#### **6 RISK MANAGEMENT**

6.1 SWAP have undertaken a review of current risk management arrangements as part of their audit plan.

#### **7 POTENTIAL IMPACTS**

7.1 Equalities. An Equality Impact Assessment is attached as **Appendix 2**. A screening assessment has been completed which indicates the proposal does not have any equality impacts.

7.2 Climate change/sustainability. There are no impacts as a consequence of the decision.

7.3 Data Protection/GDPR. No personal data has been processed.

#### **8 CONSULTATION**

8.1 See section 12

#### **9 TIMETABLE FOR IMPLEMENTATION**

9.1 By 31 March 2024

#### **10 APPENDICES**

10.1 Appendix 1 - Internal Audit Progress Report Q1 2023/24

10.2 Appendix 2 - EQIA

#### **11 BACKGROUND DOCUMENTS**

11.1 None

## 12 CONSULTATION

Name of consultee	Post held	Date sent	Date returned
<i>Mandatory: Statutory Officers (or deputies)</i>			
Andrew Vallance	Deputy Director of Finance (Interim S151 Officer)	6/7/23	6/7/23
Elaine Browne	Deputy Director of Law and Strategy /Monitoring Officer	6/7/23	10/7/23
<i>Deputies:</i>			

Confirmation relevant Cabinet Member(s) consulted		Yes
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## REPORT HISTORY

Decision type:	Urgency item?	To follow item?
Audit and Governance Committee for Noting	No	No

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